

FILE 'INPADOC, WPIX, JAPIO, HCAPLUS' ENTERED AT 10:50:23 ON 28 OCT 2002

L1 6 S JP2000-0245626/PRN,AP  
 SET SMARTSELECT ON;L2 SEL L1 1- IC RN : 23 TERMS  
 L3 349051 S L2  
 L4 6 S L1 AND L3

FILE 'SCISEARCH' ENTERED AT 10:59:50 ON 28 OCT 2002

L5 38 S LIGHT EMITTING DIODES/TI AND BASED/TI AND GAN/TI  
 L6 1 S L5 AND 237/SO  
 L7 51 S ("BOULOU M, 1977, V37, P237, PHILLIPS TECH REV"/RE OR . . . )  
 L8 0 S L7 AND ROTAT#####  
 L9 0 S L7 AND TILT####  
 L10 1 S L7 AND ANGL###

FILE 'WPIX, JAPIO, HCAPLUS, INSPEC, INSPHYS, CEABA-VTB, JICST-EPLUS, PASCAL, SCISEARCH, CONFSCI' ENTERED AT 11:04:57 ON 28 OCT 2002

L11 1552 S 0110  
 L12 22330 S (HETEROEPITAX? OR HETERO EPITAX#####)  
 L13 409821 S EPITAX#####

FILE 'REGISTRY' ENTERED AT 11:07:42 ON 28 OCT 2002

L14 3 S (SAPPHIRE/CN OR "SAPPHIRE (AL2O3)"/CN OR "SAPPHIRE EMB"/CN OR "SAPPHIRE II"/CN)  
 L15 1 S CORUNDUM/CN

FILE 'WPIX, JAPIO, HCAPLUS, INSPEC, INSPHYS, CEABA-VTB, JICST-EPLUS, PASCAL, SCISEARCH, CONFSCI' ENTERED AT 11:11:49 ON 28 OCT 2002

L16 20499 S (1302-74-5 OR CORUNDUM OR SAPPHIRE OR LEUCOSAPPHIRE OR 1317-82-4)(2A)(BASE OR SUBSTRATE)  
 L17 28104 S (1302-74-5 OR CORUNDUM OR SAPPHIRE OR LEUCOSAPPHIRE OR 1317-82-4)(L)(BASE OR SUBSTRATE)  
 L18 28104 S (L16 OR L17)  
 L19 4 S 01BAR10 OR 011BAR0 OR 01 BAR 10 OR 01 BAR10 OR 011BAR 0 OR 011 BAR0 OR 011 BAR 0  
 L20 22457 S ALPHA(4A)(SAPPHIRE OR LEUCOSAPPHIRE OR CORUNDUM OR AL2O3 OR ALUMINIUM OXIDE OR ALUMINIUM OXIDE)  
 L21 23987 S L11 OR (L19 OR L20)  
 L22 1410 S L21 AND (L12 OR L13)  
 L23 496 S L18 AND L22  
 L24 5 S L23 AND TILT####  
 L25 43 S L23 AND ROTAT#####  
 L26 25 S L23 AND ANGL###  
 L27 8 S L12 AND (L25 OR L26)  
 L28 13 S L24 OR L27  
 L29 9 DUP REM L28 (4 DUPLICATES REMOVED)  
 L30 48141 S (1344-28-1 OR ALUMINA)(L)(BASE OR SUBSTRATE)  
 L31 1 S L25 AND L26  
 L32 131 S L30 AND L12  
 L33 2 S L32 AND TILT####  
 L34 2 S L33 NOT L28  
 L35 17882 S (1344-28-1 OR ALUMINA)(3A)(BASE OR SUBSTRATE)  
 L36 24 S L35 AND TILT####  
 L37 24 S L36 NOT L28  
 L38 24 S L34 OR L37  
 L39 24 DUP REM L38 (0 DUPLICATES REMOVED)  
 L40 10 S L39 AND (ANGL### OR ROTAT#####)  
 L41 5 S L35 AND (ANGL### AND ROTAT#####)  
 L42 16 S (L34 OR (L40 OR L41)) NOT (L28 OR L31)  
 L43 16 DUP REM L42 (0 DUPLICATES REMOVED)  
 L44 6 S (L12 OR L13) AND L43  
 L45 1859 S (L18 OR L20 OR L11 OR L30 OR L35) AND HEXAGON#####  
 L46 836 S L45 AND (L12 OR L13)  
 L47 18 S L46 AND TILT#### AND (ROTAT##### OR ANGL####)  
 L48 14 S L28 OR L31  
 L49 20 S (L28 OR L31 OR L44)  
 L50 16 S L47 NOT L49  
 L51 6 DUP REM L50 (10 DUPLICATES REMOVED)  
 L52 32851 S VPE OR MOVPE OR OMVPE

FILE 'WPIX, JAPIO, HCAPLUS, INSPEC, INSPHYS, CEABA-VTB, JICST-EPLUS,  
PASCAL, SCISEARCH, CONFSCI' ENTERED AT 11:11:49 ON 28 OCT 2002

L53 112 S M FACE  
L54 142 S M(W)(FACE OR FACED OR FACING)  
L55 1697 S L11 OR L19 OR L54  
L56 2267 S L55 OR M(W) PLANE##  
L57 157 S L56 AND (L12 OR L13)  
L58 11 S L57 AND TILT##### AND (ROTAT##### OR ANGL###)  
L59 10 S L56 AND L52  
L60 4 S L57 AND (ROTAT##### AND ANGL###)  
L61 18 S (L58 OR L59 OR L60)  
L62 36 S (L49 OR L50)  
L63 17 S L61 NOT L62  
L64 11 DUP REM L63 (6 DUPLICATES REMOVED)  
L65 2029 S 10 HIVIN 10  
L66 419603 S (L12 OR L13) OR L52  
L67 214 S L65 AND L66  
L68 18 S L67 AND (L12 OR HETERO)  
L69 53 S (L62 OR L63)  
L70 16 S L68 NOT L69  
L71 16 DUP REM L70 (0 DUPLICATES REMOVED)  
L72 1 S L67 AND TILT##### AND (ROTAT##### OR ANGL#####)  
L73 1 S L67 AND (ROTAT##### AND ANGL#####)  
L74 94 S L67 AND (DEG OR DEGREE)  
L75 76 S L74 AND (ORIENT##### OR ANGL### OR TILT##### OR ROTAT#####)  
L76 96483 S (L18 OR L20 OR L11 OR L30 OR L35)  
L77 36 S L75 AND L76  
L78 70 S L69 OR L70 OR L71 OR L72  
L79 27 S L77 NOT L78  
L80 27 DUP REM L79 (0 DUPLICATES REMOVED)  
L81 16869 S MISORIENT##### OR MIS ORIENT#####  
L82 4289 S L56 OR L65  
L83 57 S L81 AND L82  
L84 97 S L78 OR L79  
L85 51 S L83 NOT L84  
L86 36 DUP REM L85 (15 DUPLICATES REMOVED)  
L87 13 S L76 AND L86  
L88 83058 S C(W)(PLAN### OR AXIS)  
L89 82 S L82 AND L88 AND L76  
L90 110 S L84 OR L87  
L91 51 DUP REM L89 (31 DUPLICATES REMOVED)  
L92 39 S L91 NOT L90  
L93 0 S L12 AND L92  
L94 1577 S (IN AND GA AND AL AND N)/CHI  
L95 0 S AL.GA.IN.N/MF

FILE 'REGISTRY' ENTERED AT 12:06:00 ON 28 OCT 2002

L96 169 S AL.GA.IN.N/MF  
L97 168 S AL GA IN N/ELF  
L98 169 S L96 OR L97

FILE 'WPIX, JAPIO, HCAPLUS, INSPEC, INSPHYS, CEABA-VTB, JICST-EPLUS, PASCAL, SCISEARCH, CONFSCI' ENTERED  
AT 12:07:15 ON 28 OCT 2002

L99 0 S L92 AND (L94 OR L98)  
L100 1577 S L94  
L101 823 S L98  
L102 2400 S L100 OR L101  
L103 0 S L92 AND L102  
L104 19 S L92 AND NITRIDE  
L105 20342 S WITHOUT(2A)(ANGL##### OR ROTAT##### OR REVOL#####)  
L106 1 S L82 AND L105

ANSWER 1 HCAPLUS COPYRIGHT 2002 ACS

AN 1997:405245 HCAPLUS

DN 127:111870

TI Orientation relationships in heteroepitaxial aluminum films on sapphire

AU Medlin, D. L.; McCarty, K. F.; Hwang, R. Q.; Guthrie, S. E.; Baskes, M. I.

CS Sandia National Laboratories, Livermore, CA, 94551, USA

SO Thin Solid Films (1997), 299(1-2), 110-114

CODEN: THSFAP; ISSN: 0040-6090

PB Elsevier

DT Journal

LA English

CC 56-6 (Nonferrous Metals and Alloys)

Section cross-reference(s): 75

AB The microstructure and orientation relationships were examd. in aluminum thin films deposited epitaxially on sapphire (0001). The films consist of grains with three distinct types of orientation relative to the substrate. The primary orientation is such that (0001)Al<sub>2</sub>O<sub>3</sub>.dblvert.(111)Al and [10.hivin.10]Al<sub>2</sub>O<sub>3</sub>.dblvert.[.hivin.110]Al. This configuration, which matches the close-packed planes and directions of the metal film with those of the oxygen ion sublattice in the sapphire substrate, allows for growth of two sym. equiv. orientation variants resulting in a film composed of interlocking regions of these two domains. Unexpectedly, two addnl. orientation types are identified in the films. As in the primary variant, the close-packed aluminum {111} planes remain parallel with the sapphire basal planes. However, these orientations are rotated about the aluminum [111] axis such that [10.hivin.10]Al<sub>2</sub>O<sub>3</sub> is parallel to directions near either [.hivin.12.hivin.1]Al (30.degree. rotation) or [5.hivin.4.hivin.1]Al (.apprx.11.degree. rotation).

ST aluminum heteroepitaxial film sapphire crystal orientation

IT Crystal orientation

(in heteroepitaxial aluminum films on sapphire)

L87 ANSWER 9 OF 13 INSPEC COPYRIGHT 2002 IEE  
 AN 1997:5581073 INSPEC DN A9712-8115H-050; B9706-0510D-120  
 TI The effect of **substrate misorientation** on the optical, structural, and electrical properties of GaN grown on **sapphire** by MOCVD.  
 AU Grudowski, P.A.; Holmes, A.L.; Eiting, C.J.; Dupuis, R.D. (Microelectron. Res. Center, Texas Univ., Austin, TX, USA)  
 SO Journal of Electronic Materials (March 1997) vol.26, no.3, p.257-61. 10 refs.  
 Published by: TMS  
 CODEN: JECMA5 ISSN: 0361-5235  
 SICI: 0361-5235(199703)26:3L:257:ESMO;1-#  
 Conference: Proceedings of the 38th Electronic Materials Conference. Santa Barbara, CA, USA, 26-28 June 1996  
 DT Conference Article; Journal  
 TC Experimental  
 CY United States  
 LA English  
 AB We report the growth and characterization of unintentionally doped GaN on both exact and vicinal (0001) **sapphire substrates**. The GaN heteroepitaxial layers are grown by metalorganic chemical vapor deposition on c-plane Al<sub>2</sub>O<sub>3</sub> **substrates** either on-axis or intentionally **misoriented** 2 degrees toward the alpha -plane (1120) or 5 or 9 degrees toward the **m-plane** (1010). The samples are characterized by 300 K photoluminescence, cathodoluminescence, and Hall-effect measurements as well as by triple-axis X-ray diffractometry to determine the effect of the **misorientation** on the optical, electrical, and structural properties of heteroepitaxial undoped GaN. Ten different sample sets are studied. The data reveal enhanced photoluminescence properties, increased electron mobility, a reduced n-type background carrier concentration, and a somewhat degraded surface morphology and crystalline quality for the **misoriented** samples compared to the on-axis samples.

E87 ANSWER 6 OF 13 HCAPLUS COPYRIGHT 2002 ACS

AN 1996:741514 HCAPLUS

DN 126:124187

TI The effect of **substrate misorientation** on the photoluminescence properties of GaN grown on **sapphire** by metalorganic chemical vapor deposition

AU Grudowski, P. A.; Holmes, A. L.; Eiting, C. J.; Dupuis, R. D.

CS Microelectronics Research Center, Univ. Texas Austin, Austin, TX, 78712-1100, USA

SO Applied Physics Letters (1996), 69(24), 3626-3628

CODEN: APPLAB; ISSN: 0003-6951

PB American Institute of Physics

DT Journal

LA English

CC 73-5 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

AB The authors report the growth and photoluminescence (300 and 4.2 K) characterization of unintentionally doped GaN on both exact and vicinal (0001) **sapphire substrates**. The GaN heteroepitaxial layers are grown by metalorg. CVD on **sapphire substrates** using various growth conditions. The (0001) Al<sub>2</sub>O<sub>3</sub> c-plane **substrates** are oriented exactly (0001) or **misoriented** either 2.degree. towards the a plane (1120), 5.degree. towards the **m plane** (1010), or 9.degree. toward the **m plane**. A comparison of the 300 and 4.2 K optical characteristics of the samples grown on the different **substrates** indicates that a higher photoluminescence intensity is measured for the films on **misoriented substrates**.

L87 ANSWER 4 OF 13 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1999:266405 HCAPLUS  
 DN 131:94176  
 TI GaN epilayers on **misoriented** substrates  
 AU Trager-Cowan, C.; McArthur, S.; Middleton, P. G.; O'Donnell, K. P.; Zubia, D.; Hersee, S. D.  
 CS Department of Physics and Applied Physics, University of Strathclyde, Glasgow, G4 0NG, UK  
 SO Materials Science & Engineering, B: Solid-State Materials for Advanced Technology (1999), B59(1-3), 235-238  
 CODEN: MSBTEK; ISSN: 0921-5107  
 PB Elsevier Science S.A.  
 DT Journal  
 LA English  
 CC 73-5 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)  
 Section cross-reference(s): 75  
 AB Three Si-doped 3 .mu.m thick GaN epilayers were grown simultaneously by metalorg. CVD on (0001) **sapphire substrates** **misoriented** by 0, 4 and 10.degree. toward the **m-plane** (1010). A comparative study of these epilayers was undertaken using photoluminescence (PL) spectroscopy, at. force microscopy (AFM) SEM, cathodoluminescence (CL) imaging and CL spectroscopy. Low temp. PL of the 0 and 4.degree. epilayers shows bound exciton (BE) emission between 3.47 and 3.48 eV and a low level of yellow band emission. The peak intensities of both emission bands are a factor of 2 higher for the 4.degree. layer. In the 10.degree. epilayer, the BE band is 3.times. stronger than in the 0o epilayer but there is no discernible yellow band. However, a no. of addnl. bands appear at 3.459, 3.417, 3.362, 3.345, 3.309 and 3.285 eV. These bands may be attributed to the presence of structural defects in this epilayer, pointing to an abrupt degrdn. of its structural quality compared to the others. This degrdn. is confirmed by AFM studies. On a 20.times.20 .mu.m<sup>2</sup> image the 0 and 4.degree. epilayers exhibit smooth surface morphologies, while the 10.degree. epilayer shows a high d. of hexagonal pits. Finally, SEM images reveal the surface of the 10.degree. epilayer to be 'streaked' and pitted. Low temp. CL images at 3.48 eV (bound exciton region) show random spotty emission, while those at 3.28 eV and 3.41 eV exhibit a streaky appearance similar to the SEM image. Probably these luminescence bands are indeed assocd. with structural defects.

L6 ANSWER 8 OF 36 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1996:730659 HCAPLUS  
 DN 126:53355  
 TI Substrates for epitaxial growth of InGaAlN system  
 AU Matsuoka, Takashi  
 CS NTT Opto-electronics Lab., Atsugi, 243-01, Japan  
 SO Nippon Kessho Seicho Gakkaishi (1996), 23(4), 345-353  
 CODEN: NKSGDK; ISSN: 0385-6275  
 PB Nippon Kessho Seicho Gakkai  
 DT Journal  
 LA Japanese  
 AB The properties of substrate lattice-matching to InGaAlN, which has progressed in light emitting devices in the wavelength shorter than that of green light, and high-power transport devices operated at high temp., are described. The lattice const., crystal structure, cleavability and its direction of substrates is explained in comparison with InGaAlN. Of the sapphire substrates widely used at present, the (01. **hivin.10**) plane is shown to be the most suitable substrate com. available. The GaN growth on a (001) 6H-SiC substrate with polarity is introduced and the substrate polarity is described to severely affect the cryst. quality of an epitaxially grown film. This paper also reviews (101) NdGaO<sub>3</sub> and (111) MgAl<sub>2</sub>O<sub>4</sub> as substrates nearly lattice-matched to GaN. The In<sub>0.22</sub>Ga<sub>0.78</sub>N on a house-made (001) ZnO substrate is reported as the only attempt of lattice-matching growth in InGaAlN.  
 IT 1317-82-4, Sapphire

L87 ANSWER 3 OF 13 HCAPLUS COPYRIGHT 2002 ACS  
AN 2000:373380 HCAPLUS  
DN 133:10711  
TI Probing nitride thin films in 3-dimensions using a variable energy  
electron beam  
AU Trager-Cowan, Carol; McColl, D.; Sweeney, F.; Grimson, S. T. F.; Treguer,  
J-F.; Mohammed, A.; Middleton, P. G.; Manson-Smith, S. K.; O'Donnell, K.  
P.; Van der Stricht, W.; Moerman, I.; Demeester, P.; Wu, M. F.; Vantomme,  
A.; Zubia, D.; Hersee, S. D.  
CS Dept. Physics and Applied Physics, University of Strathclyde, Glasgow, G4  
ONG, UK  
SO Materials Research Society Symposium Proceedings (2000), 595(GaN and  
Related Alloys--1999), W5.10.1-W5.10.6  
CODEN: MRSPDH; ISSN: 0272-9172  
PB Materials Research Society  
DT Journal  
LA English  
CC 73-10 (Optical, Electron, and Mass Spectroscopy and Other Related  
Properties)  
AB The authors illustrate the application of electron beam techniques to the  
measurement of strain, defect and alloy concns. in nitride thin films.  
The authors present brief comparative studies of CL spectra of AlGaN and  
InGaN epilayers and EBSD patterns obtained from 2 Si-doped 3 .mu.m thick  
GaN epilayers grown on an on-axis (0001) **sapphire**  
**substrate** and a **sapphire substrate**  
**misoriented** by 10.degree. toward the **m-plane**  
(1010).



L104 ANSWER 3 OF 19 JAPIO COPYRIGHT 2002 JPO  
 AN 1999-004048 JAPIO  
 TI **NITRIDE** SEMICONDUCTOR DEVICE AND MANUFACTURE THEREOF  
 IN SUGIMOTO YASUNOBU; KIOHISA HIROYUKI; OZAKI NORIYA; IWASA SHIGETO;  
 NAKAMURA SHUJI  
 PA NICHIA CHEM IND LTD  
 PI JP 11004048 A 19990106 Heisei  
 AI JP 1998-50859 (JP10050859 Heisei) 19980303  
 PRAI JP 1997-99494 19970417  
 SO PATENT ABSTRACTS OF JAPAN (CD-ROM), Unexamined Applications, Vol. 1999  
 IC ICM H01S003-18  
 ICS H01L021-301; H01L031-10; H01L033-00  
 AB PROBLEM TO BE SOLVED: To enable a laser device possessed of a  
**nitride** semiconductor **substrate** and its resonant plane  
 to be formed by a method wherein the opposed edge faces of an active layer  
 of the **nitride** semiconductor device are set flush with the  
 cleavage plane of a **nitride** semiconductor **substrate** M  
 plane (11-00).  
 SOLUTION: A protective film formed like a stripe vertical to the A plane  
 (112-0) of a **sapphire substrate** is provided onto the  
**sapphire substrate** whose main surface is a C  
**plane** (0001). Or, a protective film formed like a stripe vertical  
 to the R plane (11 02) of a **sapphire substrate** is  
 provided onto the **sapphire substrate** whose main  
 surface is a A plane (112-0). A **nitride** semiconductor is grown  
 on the protective film for the formation of a **nitride**  
 semiconductor **substrate**. Furthermore, a **nitride**  
 semiconductor layer which includes an active layer is formed on the  
**nitride** semiconductor **substrate**, the **sapphire**  
**substrate** is removed from the **nitride** semiconductor  
**substrate**, and then the cleavage plane of the M  
**plane** (11-00) of the **nitride** semiconductor  
**substrate** is set flush with the edge face of the active layer.  
 COPYRIGHT: (C)1999, JPO

L104 ANSWER 4 OF 19 JAPIO COPYRIGHT 2002 JPO  
AN 1998-190149 JAPIO  
TI MANUFACTURE OF **NITRIDE** SEMICONDUCTOR LASER ELEMENT  
IN SUGIMOTO YASUNOBU; NAKAMURA SHUJI  
PA NICHIA CHEM IND LTD  
PI JP 10190149 A 19980721 Heisei  
AI JP 1996-349418 (JP08349418 Heisei) 19961227  
PRAI JP 1996-349418 19961227  
SO PATENT ABSTRACTS OF JAPAN (CD-ROM), Unexamined Applications, Vol. 1998  
IC ICM H01S003-18  
ICS H01L033-00  
AB PROBLEM TO BE SOLVED: To provide a laser beam having the shape of an elliptic poor field pattern by forming resonant surfaces by etching a **nitride** semiconductor layer on a **sapphire substrate** having a main surface composed of the C-plane of **sapphire** and dividing the **sapphire substrate** between the facing resonant surfaces.  
SOLUTION: Resonant surfaces are formed on the end faces of an active layer by etching an n-type **nitride** semiconductor layer 2 on a **sapphire substrate** 1 having a main surface composed of the C-plane of **sapphire** so that the resonant surface of one laser element is oppositely faced to that of the other resonant surface. Then the **sapphire substrate** 1 between the facing resonant surfaces is divided into parts along the A- or M-plane of **sapphire**. In addition, part of the **substrate** 1 containing the projecting part from the resonant surface is prevented from interrupting the laser beam emitted from the resonant surface by setting one dividing position near one resonant surface so that the projecting section will not interrupt the laser beam.  
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ANSWER 1 HCAPLUS COPYRIGHT 2002 ACS

AN 1998:108727 HCAPLUS

DN 128:220180

TI Growth and structure of internal Cu/Al<sub>2</sub>O<sub>3</sub> and Cu/Ti/Al<sub>2</sub>O<sub>3</sub> interfaces

AU Dehm, G.; Scheu, C.; Ruhle, M.; Raj, R.

CS Max-Planck-Institut fur Metallforschung, Stuttgart, 70174, Germany

SO Acta Materialia (1998), 46(3), 759-772

CODEN: ACMAFD; ISSN: 1359-6454

PB Elsevier Science Ltd.

DT Journal

LA English

CC 56-6 (Nonferrous Metals and Alloys)

Section cross-reference(s): 57, 75

AB Thin Cu films and Cu/Ti bilayers were grown on (0001).alpha.-Al<sub>2</sub>O<sub>3</sub> by mol. beam epitaxy (MBE) at substrate temps. ranging from 373 K to 473 K. Results on growth behavior, low-energy interface orientation relationships and the nature of bonding at the interfaces are reported. Transmission electron microscopy (TEM) and in-situ RHEED studies show that Cu/Ti bilayers nucleate and grow epitaxially on the Al<sub>2</sub>O<sub>3</sub> substrates with an (111)Cu.ltbbbrac.110.rtbbrac.Cu|| (0001)Ti.ltbbbrac.2.hivin.1.hivin.10.rtbbrac.c.Ti|| (0001)Al<sub>2</sub>O<sub>3</sub>.ltbbbrac.10.hivin.10.rtbbrac.Al<sub>2</sub>O<sub>3</sub> orientation relationship. In contrast, Cu films on (0001).alpha.-Al<sub>2</sub>O<sub>3</sub> grow in two stages. Initially, the Cu nuclei have random orientation but they realign with increasing film thickness into an heteroepitaxial (111)Cu.ltbbbrac.110.rtbbrac.Cu|| (0001)Al<sub>2</sub>O<sub>3</sub>.ltbbbrac.10.hivin.10.rtbbrac.Al<sub>2</sub>O<sub>3</sub> orientation relationship. In high-resoln. transmission electron microscopy (HRTEM) no reaction phases are obsd. at the atomically sharp Cu/Al<sub>2</sub>O<sub>3</sub> and Ti/Al<sub>2</sub>O<sub>3</sub> interfaces. Electron energy-loss spectroscopy (EELS) of the interfaces reveals bonding between copper or titanium and the oxygen sublattice of Al<sub>2</sub>O<sub>3</sub>. The interfacial widths of copper and titanium atoms involved in the bonding are estd. using the characteristic absorption edge data. In the case of Ti/Al<sub>2</sub>O<sub>3</sub> the interfacial width is 0.5 nm .+-. 0.1 nm. The lower affinity of Cu to oxygen results in a smaller interfacial width of 0.34 nm .+-. 0.06 nm. These values correspond to the apparent fraction of the metal layers that participate in the bonding with the oxygen sublattice of (0001).alpha.-Al<sub>2</sub>O<sub>3</sub>.

ST copper MBE alumina interface titanium

L104 ANSWER 17 OF 19 INSPEC COPYRIGHT 2002 IEE  
 AN 2001:6895216 INSPEC DN A2001-10-7865K-032; B2001-05-0520D-027  
 TI Polarized and electronic Raman scattering in GaN epitaxial layers grown on sapphire.  
 AU Tripathy, S.; Soni, R.K. (Dept. of Phys., Indian Inst. of Technol., New Delhi, India); Asahi, H.; Gonda, S.  
 SO Proceedings of the SPIE - The International Society for Optical Engineering (2000) vol.3975, pt.1-2, p.283-6. 6 refs.  
 Published by: SPIE-Int. Soc. Opt. Eng  
 CODEN: PSISDG ISSN: 0277-786X  
 SICI: 0277-786X(2000)3975:1/2L.283:PERS;1-F  
 Conference: Tenth International Workshop on the Physics of Semiconductor Devices. New Delhi, India, 14-18 Dec 1999  
 DT Conference Article; Journal  
 TC Theoretical; Experimental  
 CY United States  
 LA English  
 AB We have carried out a detail Raman scattering studies in GaN layers grown on C-, A-, R-, and **M-plane sapphire substrates** by electron cyclotron resonance molecular beam epitaxy (ECR-MBE) technique. First order optical phonons of A<sub>1</sub>, E<sub>1</sub> and E<sub>2</sub> symmetries were observed in the Raman spectra of GaN layers grown on **sapphire substrates**, where the peaks are indicative of the wurtzite crystal structure. Polarized Raman measurements performed on GaN layers grown on various orientations of **sapphire** exhibit quasipolar modes of both E<sub>1</sub> and A<sub>1</sub> symmetries. The appearance of these quasipolar phonons are explained by considering the combined effect of long range electrostatic and short range inter atomic forces in the Raman process. Furthermore, the nature of the electronic state is investigated by defect induced Raman scattering in resonance with yellow luminescence transitions in GaN layers grown on **C-plane sapphire**.

L104 ANSWER 19 OF 19 PASCAL COPYRIGHT 2002 INIST-CNRS. ALL RIGHTS RESERVED.  
 AN 1999-0524026 PASCAL  
 CP Copyright .COPYRGT. 1999 INIST-CNRS. All rights reserved.  
 TIEN Optical anisotropy of GaN/sapphire studied by generalized ellipsometry  
 and Raman scattering  
 Light-emitting diodes : research, manufacturing, and applications III :  
 San Jose CA, 27-28 January 1999  
 AU CHUNHUI YAN; YAO H. W.; VAN HOVE J. M.; WOWCHAK A. M.; CHOW P. P.; ZAVADA  
 J. M.  
 CS SCHUBERT E. Fred (ed.); FERGUSON Ian T. (ed.); YAO H. Walter (ed.)  
 University of Nebraska, Center for Microelectronic and Optical Material  
 Research, and Department of Electrical Engineering, Lincoln, NE 68588,  
 United States; SVT Associates, Eden Prairie, MN, United Kingdom; European  
 Research Office, London, United Kingdom  
 International Society for Optical Engineering, Bellingham WA, United  
 States (patr.)  
 SO SPIE proceedings series, (1999), 3621, 73-84, 18 refs.  
 Conference: Light-emitting diodes. Conference, San Jose CA (United  
 States), 27 Jan 1999  
 ISSN: 1017-2653  
 ISBN: 0-8194-3091-9  
 DT Journal; Conference  
 BL Analytic  
 CY United States  
 LA English  
 AV INIST-21760, 354000084598860080  
 AB Generalized variable angle spectroscopic ellipsometry (VASE) and Raman  
 scattering have been employed to study the optical anisotropy of GaN/  
**sapphire** structures. The GaN films were grown by hydride vapor  
 phase epitaxy (HVPE) and molecular beam epitaxy (MBE) on both **m**  
**-plane** and **c-plane sapphire** (.  
**alpha.-Al.sub.2O.sub.3**) **substrates**, respectively.  
 Anisotropic optical phonon structure of **sapphire** have been  
 measured, based on which the optical axis of **sapphire**  
**substrate** has been determined. A 541 cm.sup.-.sup.1 TO phonon of  
 GaN grown on **m-plane sapphire**  
**substrate** has been discovered experimentally which is due the  
 coupling of A.sub.1 and E.sub.1 TOs. Optical axis orientation of GaN film  
 on **m-sapphire** has been fully determined by the anisotropic  
 angular dependence of the coupled TO phonon. Off-diagonal elements  
 A.sub.p.sub.s.sub.t and A.sub.s.sub.p.sub.t of transmission VASE (TVASE)  
 are very sensitive parameters related to the optical anisotropy. The  
 optical axis orientation of GaN on **m-sapphire** has also been  
 accurately determined by TVASE at two special sample positions. The  
 optical anisotropy due to GaN film and **sapphire**  
**substrate** has been successfully separated at 90.degree. sample  
 position allowing to study the optical anisotropy of GaN film only.

L104 ANSWER 1 OF 19 WPIX (C) 2002 THOMSON DERWENT

AN 2001-079727 [09] WPIX

DNN N2001-060682 DNC C2001-022867

TI Structure for optoelectronic devices, e.g. edge emitting lasers, includes substrate with upstanding mesa(s) and a **nitride** epitaxial film on top of the mesa which has surfaces oriented along crack planes of epitaxial film.

DC L03 U11 U12 V08

IN BOUR, D P; DUNNROWICZ, C J; ROMANO, L T

PA (XERO) XEROX CORP

CYC 1

PI US 6163557 A 20001219 (200109)\* 10p H01L031-0312

ADT US 6163557 A US 1998-82154 19980521

PRAI US 1998-82154 19980521

IC ICM H01L031-0312

ICS H01S005-00

AB US 6163557 A UPAB: 20010213

NOVELTY - A structure comprises a **substrate** (20) with upstanding mesa(s) (10) having a top surface (16), and a group III-V **nitride** epitaxial film on the top surface of the mesa. The mesa has surfaces oriented along crack planes of the epitaxial film.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for a method of forming the structure by patterning a **substrate** to form at least one mesa and epitaxially growing a group III-V **nitride** epitaxial film on top surface of the mesa.

USE - The structure is used for optoelectronic devices e.g., edge emitting lasers or surface emitting lasers, that emit visible light over a wide range of wavelengths.

ADVANTAGE - The improved structure has reduced cracking with improved electrical and optoelectronic properties, thus optoelectronic devices comprising the improved structure will have enhanced device performance and reliability.

DESCRIPTION OF DRAWING(S) - The figure shows mesas patterned on a **c-plane sapphire substrate** having an a-plane flat.

Mesa 10

Side surfaces 12

End surfaces 14

Top surface 16

**Substrate** 20

A-plane flat 22

Width W

Dwg.1/6

TECH US 6163557 A UPTX: 20010213

TECHNOLOGY FOCUS - INORGANIC CHEMISTRY - Preferred Components: The

**substrate** is **sapphire** and also includes a thinned membrane. The epitaxial film comprises gallium **nitride** (GaN) and the structure also includes an aluminum gallium **nitride** epitaxial film having an aluminum concentration of up to 18% on the GaN film.

TECHNOLOGY FOCUS - ELECTRONICS - Preferred Component: Each of the mesas is disposed on a **c-plane** or a-plane (22) of the **substrate**. The top surface of each mesa has width (W) of not more than 10 (preferably 2-4) microns. The mesas are spaced 4-50 microns from each other, and also include side (12) and end (14) surfaces oriented along **m-planes** of the epitaxial film.

Preferred Method: The patterning step comprises reactive ion etching of the **substrate** or ablating the **substrate** using an excimer laser. The method also includes thinning the **substrate** to form a membrane and forming the mesa(s) on the membrane.

FS CPI EPI

L1 ANSWER 6 OF 27 CAPLUS COPYRIGHT 2002 ACS  
 AN 1973:529998 CAPLUS  
 DN 79:129998  
 TI Nonbasal slip in sapphire  
 AU Gooch, D. J.; Groves, G. W.  
 CS Dep. Metall., Univ. Oxford, Oxford, Engl.  
 SO Phil. Mag. (1973), 28(3), 623-37  
 CODEN: PHMAA4  
 DT Journal  
 LA English  
 CC 70-2 (Crystallization and Crystal Structure)  
 AB The plastic deformation of sapphire by mechanisms other than slip on the basal plane was studied by bending crystals of orientations such that extension and contraction normal to the basal plane (0.degree. specimens) or parallel to the basal plane (90.degree. specimens) are required. 0.degree. specimens could not be plastically bent below 1600.degree.. Above 1600.degree. there was extensive plasticity but no single slip system could be identified. 90.degree. specimens could be plastically bent by the operation of the {21.hivin.1.hivin.0}.ltbbrac.011.hivin.0.rtbbrac. slip system at temps. as low as 1150.degree.. The temp. dependences and strain rate sensitivities of the flow stresses for 0.degree. and 90.degree. were detd. There are striking differences between the characteristics of the 2 types of deformation, which are attributed to the fact that different dislocations are involved.  
 ST sapphire nonbasal slip dislocation  
 IT 1317-82-4  
 RL: PRP (Properties)  
 (nonbasal slip in)

ANSWER 1 HCAPLUS COPYRIGHT 2002 ACS

AN 1995:848758 HCAPLUS

DN 123:354908

TI Crystal structure and orientation of Al<sub>x</sub>In<sub>1-x</sub>N epitaxial layers grown on (0001) .alpha.-Al<sub>2</sub>O<sub>3</sub> substrates

AU Guo, Qixin; Itoh, Nobuo; Ogawa, Hiroshi; Yoshida, Akira

CS Fac. Sci. Eng., Saga Univ., Saga, 840, Japan

SO Japanese Journal of Applied Physics, Part 1: Regular Papers, Short Notes & Review Papers (1995), 34(9A), 4653-7  
CODEN: JAPNDE; ISSN: 0021-4922

PB Japanese Journal of Applied Physics

DT Journal

LA English

CC 75-1 (Crystallography and Liquid Crystals)

Section cross-reference(s): 76

AB The epitaxial layers of Al<sub>x</sub>In<sub>1-x</sub>N grown on (0001) .alpha.-Al<sub>2</sub>O<sub>3</sub> substrates by microwave-excited OMVPE were studied using the RHEED and x-ray diffraction methods. All Al<sub>x</sub>In<sub>1-x</sub>N layers have a wurtzite structure, as expected from the structure of AlN and InN. The epitaxial relation between the Al<sub>x</sub>In<sub>1-x</sub>N layers and the (0001) .alpha.-Al<sub>2</sub>O<sub>3</sub> substrates is (0001)Al<sub>x</sub>In<sub>1-x</sub>N// (0001).alpha.-Al<sub>2</sub>O<sub>3</sub> with [10.hivin.10]Al<sub>x</sub>In<sub>1-x</sub>N//[10.hivin.10].alpha.-Al<sub>2</sub>O<sub>3</sub>. The lattice consts. of a and c axes of the epitaxial layers are detd. Both the a lattice const. and c/a ratio of the epitaxial Al<sub>x</sub>In<sub>1-x</sub>N layers decrease with increasing molar fraction of x of the alloy.

ST aluminum indium nitride epitaxy structure orientation; alumina substrate  
aluminum indium nitride OMVPE



ANSWER 1 HCAPLUS COPYRIGHT 2002 ACS

AN 1997:405242 HCAPLUS

DN 127:168737

TI High quality optoelectronic grade epitaxial AlN films on .alpha.-Al<sub>2</sub>O<sub>3</sub>, Si and 6H-SiC by pulsed laser deposition

AU Vispute, R. D.; Narayan, J.; Budai, J. D.

CS Department of Materials Science and Engineering, North Carolina State University, Raleigh, NC, 27695-7916, USA

SO Thin Solid Films (1997), 299(1-2), 94-103

CODEN: THSFAP; ISSN: 0040-6090

PB Elsevier

DT Journal

LA English

CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

Section cross-reference(s): 66, 75, 76

AB AlN is 1 of the most important optoelectronic materials in the wide band gap III-V semiconductors because of its wide and tunable energy band gap in conjunction with other nitrides, high thermal cond., doping capabilities, and high hardness. The proposed optoelectronic devices require high quality epitaxial films on various substrates. Here, the authors present recent work on the fabrication of high quality epitaxial AlN films on Al<sub>2</sub>O<sub>3</sub>(0001), Si(111) and 6H-SiC(0001) by pulsed laser deposition (PLD). The PLD is a nonequil. technique where thin film growth temp. can be reduced by >250-350.degree. and epitaxial films comparable in quality to MOCVD (equil. technique) obtained. The laser fluence and the substrate temp. are crucial processing parameters for the formation of high quality monocryst. AlN films. The AlN films deposited >750.degree. and laser energy densities of 2-3 J cm<sup>-2</sup> are epitaxial with c-axis normal to substrate plane. The x-ray rocking curve of epitaxial films on sapphire and SiC yielded full-width-at-half-max. of .apprx.0.06-0.07.degree.. The TEM also revealed that the films were epitaxial with an orientational relation of AlN[0001].dblvert.Al<sub>2</sub>O<sub>3</sub>[0001], AlN[0001].dblvert.Si[111], AlN[0001].dblvert.SiC[0001] and in-plane alignment of AlN[.hivin.12.hivin.10].dblvert. Al<sub>2</sub>O<sub>3</sub>[0.hivin.110], AlN[10.hivin.10].dblvert.Al<sub>2</sub>O<sub>3</sub>[.hivin.2110], AlN[2.hivin.1.hivin.10].dblvert.Si[01.hivin.1] and AlN[0.hivin.110].dblvert.SiC[0.hivin.110]. The optical absorption edge measured by UV-visible spectroscopy for the epitaxial AlN film on sapphire was sharp and the band gap is 6.1 eV. The elec. resistivity of the films was .apprx.5-6 .times. 10<sup>13</sup> .OMEGA. cm<sup>-1</sup> with a breakdown field of 5 .times. 10<sup>6</sup> V cm<sup>-1</sup>.

ST aluminum nitride laser deposition optoelectronic grade; Raman aluminum nitride laser deposition; IR aluminum nitride laser deposition; band gap

ANSWER 1 HCAPLUS COPYRIGHT 2002 ACS

AN 1995:79744 HCAPLUS

DN 123:325997

TI High quality epitaxial aluminum nitride layers on sapphire by pulsed laser deposition

AU Vispute, R. D.; Wu, Hong; Narayan, J.

CS Dep. of Materials Science and Engineering, North Carolina State University, Raleigh, NC, 27695-7916, USA

SO Applied Physics Letters (1995) 67(11), 1549-51

CODEN: APPLAB; ISSN: 0003-6951

PB American Institute of Physics

DT Journal

LA English

CC 75-1 (Crystallography and Liquid Crystals)

Section cross-reference(s): 73, 76

AB The authors have grown high quality epitaxial AlN layers on sapphire substrates by pulsed laser ablation of a stoichiometric AlN target. The AlN films deposited at 800.degree. and laser energy densities at 2-3 J/cm<sup>2</sup> are epitaxial with the c axis normal to the Al<sub>2</sub>O<sub>3</sub>(0001) surface. The x-ray rocking curve of epitaxial AlN films yielded a full width at half max. of 0.21.degree.. The selected area electron diffraction patterns and high resolu. TEM also revealed that the films were epitaxial with an orientational relation of AlN[0001]||Al<sub>2</sub>O<sub>3</sub>[0001] and in-plane alignment of AlN[hivin.12.hivin.10]||Al<sub>2</sub>O<sub>3</sub>[0.hivin.110] and AlN[10.hivin.10]||Al<sub>2</sub>O<sub>3</sub>[.hivin.2110]. This equiv. to 30.degree. rotation in the basal plane of the AlN film with respect to the sapphire substrate. The absorption edge measured by UV-visible spectroscopy for the epitaxial AlN film was sharp and the band gap is 6.1 eV. The elec. resistivity of the films was .apprx.5-6 .times. 10<sup>13</sup> ohm cm with a breakdown field of 5 .times. 10<sup>6</sup> V/cm. At higher laser energy densities .gtoreq.10 J/cm<sup>2</sup> and lower temps. .ltoreq.650.degree., the deposited films were N deficient and contained free metallic Al, both of which degrade the microstructural, elec., and optical properties of the AlN films.

ST laser epitaxy aluminum nitride elec optical

L8 ANSWER 3 OF 4 HCAPLUS COPYRIGHT 2002 ACS  
AN 1990:600278 HCAPLUS  
DN 113:200278  
TI Growth and properties of a wide-gap semiconductor indium gallium nitride  
AU Matsuoka, Takashi; Sasaki, Toru; Katsui, Akinori  
CS Opto-Electron. Lab., NTT, Tokai, 319-11, Japan  
SO Optoelectronics--Devices and Technologies (1990), 5(1), 53-64  
CODEN: ODTEEG; ISSN: 0912-5434  
DT Journal  
LA English  
CC 73-2 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)  
Section cross-reference(s): 75  
AB The quaternary semiconductor InGaAlN, which has a wide bandgap energy, is proposed as a material for blue light-emitting devices. This material is a III-V system. It consists of InN, GaN and AlN with the wurtzite structures. Its bandgap energy can be selected from 2.0 to 6.2 eV. This material is promising for light-emitting devices, in particular, laser diodes. A lattice-matched double-heterostructure can theor. be constructed of this material, since large changes in bandgap energy occur for a fixed lattice const. Epitaxial growth of single-crystal InN, the most difficult to grow of the 3 binary compds. of InGaAlN, was achieved on **sapphire** (0001) substrates by metalorg. vapor phase epitaxy. Low temp. and high V/III ratio are found to be necessary. Single-crystal InGaN has also been grown on **sapphire** (0001) substrates. Its compn. can be continuously controlled from GaN to InN by the flow rate ratio of the group III sources. In epitaxial films of GaN, flatter surfaces were achieved using **sapphire** (01. **hivin.10**) substrates.

L6 ANSWER 26 OF 36 HCAPLUS COPYRIGHT 2002 ACS  
AN 1981:74891 HCAPLUS  
DN 94:74891  
TI Atom location in complex lattices: lead in .alpha.-aluminum oxide  
AU Carnera, A.; Drigo, A. V.; Mazzoldi, P.  
CS Ist. Fis., Univ. Padova, Padua, Italy  
SO Proc. - Int. Conf. Ion Beam Modif. Mater., 1st (1979), Meeting Date 1978,  
Volume 1, 297-303. Editor(s): Gyulai, J.; Lohner, T.; Pasztor, E.  
Publisher: Magy. Tud. Akad. Kozp. Fiz. Kut. Intez., Budapest, Hung.  
CODEN: 45ARA4  
DT Conference  
LA English  
AB A Pb location is proposed according to data of full angular scans through  
different axial and planar channeling dips. Planar angular scans through  
the {1.hivin.210} and the {01.hivin.10}  
planes confirm that the Pb sites are located along the Al  
.ltbbrac.001.rtbbrac. rows. The Pb lattice positions can be considered as  
octahedral interstitial sites significantly displaced along the c-axis.  
This displacement amts. to 0.725 .ANG. and corresponds to 1/18 of the c0  
lattice const. of the corundum structure.  
IT 1344-28-1, properties

L6 ANSWER 21 OF 36 HCAPLUS COPYRIGHT 2002 ACS  
AN 1991:548071 HCAPLUS  
DN 115:148071  
TI Optical properties of indium antimonide films deposited on sapphire  
substrates by rf sputtering  
AU Miyazaki, Takayuki; Adachi, Sadao  
CS Fac. Eng., Gunma Univ., Gunma, 376, Japan  
SO Journal of Applied Physics (1991), 70(3), 1672-7  
CODEN: JAPIAU; ISSN: 0021-8979  
DT Journal  
LA English  
AB InSb films were deposited by rf sputtering on sapphire substrate of  
various surface orientations [(0001), (11.hivin.20), (01.hivin.12), and (  
01.hivin.10)]. Optical properties of these  
films are investigated by using spectroscopic ellipsometry. The epitaxial  
film has a few void networks in the film. Polycryst. InSb films, on the  
other hand, contain a large no. of void networks deep in the film medium.  
Both epitaxial and polycryst. films have rough-surface overlayers of a few  
tens of .ANG..  
IT 1317-82-4, Sapphire

L6 ANSWER 16 OF 36 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1996:24169 HCAPLUS  
 DN 124:64137  
 TI High-quality epitaxial growth of  $\gamma$ -alumina films on  $\alpha$ -alumina  
 sapphire induced by ion-beam bombardment  
 AU Yu, Ning; McIntyre, Paul C.; Nastasi, Michael; Sickafus, Kurt E.  
 CS Mater. Sci. Technol. Div., Los Alamos Natl. Lab., Los Alamos, NM, 87545,  
 USA  
 SO Physical Review B: Condensed Matter (1995), 52(24), 17518-22  
 CODEN: PRBMDO; ISSN: 0163-1829  
 PB American Physical Society  
 DT Journal  
 LA English  
 AB We report the formation of epitaxial  $\gamma$ -alumina thin films on  
 $\alpha$ -alumina substrates induced by ion-beam bombardment.  
 Single-crystal (0001)  $\alpha$ -alumina was coated with 70-nm  
 amorphous-alumina thin films and then bombarded with either 360-keV argon  
 ions or 180-keV oxygen ions at 400, 500, and 600.degree.C. Ion-channeling  
 measurements showed a consistent min. yield of 50% for the aluminum in the  
 grown films. Cross-sectional transmission-electron microscopy revealed  
 the formation of  $\gamma$ -alumina epitaxially grown onto  $\alpha$ -alumina  
 with an orientation relationship  $[1\bar{1}0]_{\gamma} \parallel [01\bar{1}]_{\alpha}$ . The epitaxy of  $\gamma$ -alumina  
 was further confirmed by x-ray-diffraction  $\phi$ -scans. This study  
 indicates that ion-beam bombardment at 400-600.degree.C not only induces  
 the amorphous-to- $\gamma$  phase transformation but also effectively  
 eliminates {111} twins of  $\gamma$ -alumina, which are normally obsd. after  
 thermal annealing at 800-900.degree.C.  
 IT 1344-28-1, Alumina, processes

L6 ANSWER 14 OF 36 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1996:141443 HCAPLUS  
 DN 124:216410  
 TI Aluminum nitride films on different orientations of sapphire and silicon  
 AU Dovidenko, K.; Oktyabrsky, S.; Narayan, J.; Razeghi, M.  
 CS Dep. of Materials Science and Engineering, North Carolina State Univ.,  
 Raleigh, NC, 27695-7916, USA  
 SO Journal of Applied Physics (1996), 79(5), 2439-45  
 CODEN: JAPIAU; ISSN: 0021-8979  
 PB American Institute of Physics  
 DT Journal  
 LA English  
 AB The details of epitaxial growth and microstructural characteristics of AlN  
 films grown on sapphire (0001), (10.hivin.12) and Si (100), (111)  
 substrates were studied using plan-view and cross-sectional high-resoln.  
 TEM and x-ray diffraction techniques. The films were grown by metalorg.  
 CVD using TMAI + NH3 + N2 gas mixts. Different degrees of epitaxy were  
 obsd. for the films grown on .alpha.-Al2O3 and Si substrates in different  
 orientations. The epitaxial relation for (0001) sapphire is  
 (0001)AlN.dblvert.(0001)sap with in-plane orientation relation of [  
 01.hivin.10]AlN.dblvert.[.hivin.12.hivin.10]sa  
 p. This is equiv. to a 3.degree. rotation in the basal (0001) plane. For  
 (10.hivin.12) sapphire substrates, the epitaxial relation is  
 (11.hivin.20)AlN.dblvert.(10.hivin.12)sap with the in-plane alignment of  
 [0001]AlN.dblvert.[.hivin.1011]sap. The AlN films on (0001) .alpha.-Al2O3  
 contain inverted domain boundaries and a/3.ltbbbrac.11.hivin.20.rtbbbrac.  
 threading dislocations with the estd. d. of 1010 cm-2. The d. of planar  
 defects (stacking faults) found in AlN films was considerably higher in  
 the case of (10.hivin.12) compared to (0001) substrates. Films on Si  
 substrates are highly textured c axis oriented when grown on (111) Si, and  
 c axis textured with random in-plane orientation on (100) Si. The role of  
 thin-film defects and interfaces on device fabrication is discussed.  
 IT 1344-28-1, Alumina, processes

L6 ANSWER 12 OF 36 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1996:293139 HCAPLUS  
 DN 124:323489  
 TI Thermal stability of Nb thin films on sapphire  
 AU Wagner, Thomas; Lorenz, Marko; Ruehle, Manfred  
 CS Inst. Werkstoffwissenschaft, Max-Planck-Inst. Metallforschung, Stuttgart,  
 70174, Germany  
 SO Journal of Materials Research (1996), 11(5), 1255-1264  
 CODEN: JMREEE; ISSN: 0884-2914  
 PB Materials Research Society  
 DT Journal  
 LA English  
 AB The Nb/.alpha.-Al2O3 system has been used as a model study for  
 investigating the stability of different mol. beam epitaxy (MBE) grown  
 epitaxial Nb films on .alpha.-Al2O3 substrates. The films were grown at  
 800 .degree.C in ultrahigh vacuum. The growth process was monitored in  
 situ by RHEED. After deposition the structure of the film was  
 investigated by x-ray diffraction (XRD) and conventional transmission  
 electron microscopy (CTEM) which encompasses also selected area  
 diffraction (SAD). Both techniques revealed the following orientation  
 relationship between the Nb film and the .alpha.-Al2O3 substrate:  
 (0001).alpha.-Al2O3 || (111)Nb; [2.hivin.1.hivin.10].alpha.-  
 Al2O3 || [1.hivin.10]Nb. The stability of the niobium films was  
 investigated by annealing the Nb-film/.alpha.-Al2O3 system to temps. up to  
 1500 .degree.C for different periods of time. Surprisingly, the  
 orientation relationship between the Nb film and the substrate changed to  
 (001).alpha.-Al2O3 || (110)Nb; [01.hivin.10  
 ].alpha.-Al2O3 || [001]Nb. A model will be developed which shows that above  
 a crit. film thickness the growth orientation is metastable with respect  
 to its crystallog. orientation. Furthermore, high resolu. transmission  
 electron microscopy (HREM) was performed to investigate the defect  
 structure of the annealed Nb/.alpha.-Al2O3 interface.  
 IT 1302-74-5, Corundum (Al2O3), uses



L6 ANSWER 11 OF 36 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1996:485336 HCAPLUS  
 DN 125:127320  
 TI Manufacture of gallium nitride compound semiconductor lasers  
 IN Sugimoto, Yasunobu; Nakamura, Shuji  
 PA Nichia Kagaku Kogyo Kk, Japan  
 SO Jpn. Kokai Tokkyo Koho, 5 pp.  
 CODEN: JKXXAF  
 DT Patent  
 LA Japanese  
 FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
	-----	----	-----	-----	-----
PI	JP 08153931	A2	19960611	JP 1994-295433	19941130
	JP 2953326	B2	19990927		
AB	The LD manuf. involves laminating GaN-based semiconductor layers on a (0001) surface of a sapphire substrate and cutting the substrate in the face direction (1.hivin.100), (10.hivin.10), (01.hivin .10), (.hivin.1100), (.hivin.1010), or (0.hivin.110) to give a resonator facet.				
IT	1317-82-4, Sapphire				

L6 ANSWER 10 OF 36 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1996:567949 HCAPLUS  
 DN 125:309661  
 TI The microstructural study of aluminum nitride thin films: epitaxy on the  
 two orientations of sapphire and texturing on Si  
 AU Dovidenko, K.; Oktyabrsky, S.; Narayan, J.; Razeghi, M.  
 CS Dep. Mater. Sci. Eng., North Carolina State Univ., Raleigh, NC,  
 27695-7916, USA  
 SO Materials Research Society Symposium Proceedings (1996), 395 (Gallium  
 Nitride and Related Materials), 387-392  
 CODEN: MRSPDH; ISSN: 0272-9172  
 PB Materials Research Society  
 DT Journal  
 LA English  
 AB The microstructural study of wide-band gap semiconductor AlN thin films  
 grown on (0001) and (10.hivin.12) sapphire and (111), (100) Si was carried  
 out by using plan-view and cross-sectional high-resoln. electron  
 microscopy and x-ray diffraction. The films were grown by MOCVD from a  
 Me3Al + NH3 + N2 gas mixt. Epitaxial relationship for AlN grown on (0001)  
 .alpha.-Al2O3 was detd. to be (0001)AlN.dblvert.(0001)sap with the 300  
 in-plane rotation [01 .hivin.10  
 ]AlN.dblvert.[.hivin.12.hivin.10]sap. TEM observations were also made of  
 the epitaxial relationship of the AlN/(10.hivin.12).alpha.-Al2O3  
 heterostructure: (11.hivin.20)AlN.dblvert.(10.hivin.12)sap;  
 [0001]Al#N.dblvert.[.hivin.1-11]sap; [1.hivin.100]AlN.dblvert.[1.hivin.210  
 ]sap. These epitaxial relations are controlled by the bonding of Al and O  
 ions at the interface. A study of interfaces and defects present in the  
 film was also carried out. The main types of defects are inverted domain  
 boundaries, misfit and threading dislocations (in the films on (0001)  
 sapphire), and stacking faults of high d. in the films on (10.hivin.12)  
 sapphire. The epitaxial AlN films on (0001) sapphire contain dislocation  
 d. .apprx. 1010 cm-2 and exhibit device quality elec. characteristics.  
 The films on both orientations of Si are highly .ltbbrac.0001.rtbbrac.  
 textured polycryst.  
 IT 1344-28-1, Alumina, properties

L6 ANSWER 9 OF 36 HCAPLUS COPYRIGHT 2002 ACS

AN 1996:588336 HCAPLUS

DN 125:208014

TI Nitride-type semiconductor laser with dielectric multilayer-coated resonator surface

IN Senoo, Masayuki; Yamada, Takao; Nakamura, Shuji

PA Nichia Kagaku Kogyo Kk, Japan

SO Jpn. Kokai Tokkyo Koho, 7 pp.

CODEN: JKXXAF

DT Patent

LA Japanese

FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	JP 08191171	A2	19960723	JP 1995-3033	19950112
	JP 2002171024	A2	20020614	JP 2001-361772	19950112
PRAI	JP 1995-3033	A3	19950112		

AB The LD consisting of laminated InxAl<sub>y</sub>Ga<sub>1-x-y</sub>N (0.1toreq. x, 0.1toreq. y, x + y .1toreq.1) semiconductor layers has a dielec. multilayer film on an optical resonator surface. The LD having the dielec. multilayer film of SiO<sub>2</sub>, TiO<sub>2</sub>, and/or ZrO<sub>2</sub> may have an emitting wavelength at 360-460 nm. The [0001] surface of a sapphire substrate may be used for laminating the semiconductor layers and the [1.hivin.100], [10.hivin.10], [01.hivin.10], [.hivin.1100], [.hivin.1010], or [0.hivin.110] surface of the substrate may be used for forming an optical resonator surface on an edge face of the semiconductor layers perpendicular to the substrate.

IT 1317-82-4, Sapphire

L8 ANSWER 1 OF 4 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1998:816423 HCAPLUS  
 DN 130:102676  
 TI Growth of gallium nitride thin film for semiconductor light emitting device  
 IN Kimura, Akitaka; Sasaoka, Chiaki; Yamaguchi, Atsushi; Jindo, Masaaki  
 PA NEC Corp., Japan  
 SO Jpn. Kokai Tokkyo Koho, 5 pp.  
 CODEN: JKXXAF  
 DT Patent  
 LA Japanese  
 IC ICM H01S003-18  
 ICS H01L021-205; H01L033-00  
 CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)  
 Section cross-reference(s): 75

FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	JP 10341060	A2	19981222	JP 1997-150761	19970609
	JP 3119200	B2	20001218		
AB	The title method comprises the growth of an $\text{In}_x\text{Al}_y\text{Ga}_{1-x-y}\text{N}$ [0.1toeq.x.1toeq.1, 0.1toeq.y.1toeq.1, and 0.1toeq.x+y.1toeq.1] layer with a (11.hivin.22) plane on a <b>sapphire</b> substrate with (01.hivin.10) orientation (M plane) by MOVPE.				
ST	aluminum gallium indium nitride <b>sapphire</b> MOVPE semiconductor				

L6 ANSWER 7 OF 36 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1997:313687 HCAPLUS  
 DN 127:24252  
 TI Atomic structure of epitaxial Nb-Al<sub>2</sub>O<sub>3</sub> interfaces. I. Coherent regions  
 AU Gutekunst, G.; Mayer, J.; Ruehle, M.  
 CS Max-Planck-Institut fur Metallforschung, Institut fur  
 Werkstoffwissenschaft, Stuttgart, 70174, Germany  
 SO Philosophical Magazine A: Physics of Condensed Matter: Structure, Defects  
 and Mechanical Properties (1997), 75(5), 1329-1355  
 CODEN: PMAADG; ISSN: 0141-8610  
 PB Taylor & Francis  
 DT Journal  
 LA English  
 AB Thin single-crystal Nb films were grown on (0001)s, (01.  
 hivin.10)s, (2.hivin.1.hivin.10)s and (01.hivin.12)s  
 .alpha.-Al<sub>2</sub>O<sub>3</sub> (sapphire) surfaces by mol.-beam epitaxy. The same  
 orientation relation between the bulk of the Nb films and the sapphire  
 substrates is obsd. for all four systems. The at. structure of the  
 interface between the Nb films and the sapphire substrates was detd. by  
 high-resoln. TEM (HRTEM). The HRTEM studies revealed a unique building  
 principle for the at. structure of all studied Nb-Al<sub>2</sub>O<sub>3</sub> interfaces. Two  
 rules characterize the building principle. The 1st rule states that Nb  
 atoms or ions occupy Al lattice sites at the interface. This rule detd.  
 the position of the Nb atoms or ions of the 1st plane at the interface and  
 thus the growth direction. The 2nd rule detd. the orientation of the Nb  
 crystal with respect to the 1st Nb layer. According to the 2nd rule the  
 Nb atoms of the 2nd layer adjacent to the interface are positioned as  
 close as possible to the Al lattice sites of a continued Al lattice of the  
 sapphire. The unique building principle is established because the bcc.  
 unit cell of the Nb corresponds closely to the morphol. unit cell of  
 sapphire. The morphol. unit cell connects the Al lattice sites in the  
 sapphire lattice. The correspondence between the two unit cells, the  
 unique building principle and in the case of the (01.hivin.12)s system the  
 dislocation network explain the formation of the same orientation relation  
 for all systems studied.  
 IT 1344-28-1, Alumina, properties  
 RL: PRP (Properties)

L6 ANSWER 6 OF 36 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1997:313688 HCAPLUS  
 DN 127:24253  
 TI Atomic structure of epitaxial Nb-Al<sub>2</sub>O<sub>3</sub> interfaces. II. Misfit dislocations  
 AU Gutekunst, G.; Mayer, J.; Vitek, V.; Rühle, M.  
 CS Max-Planck-Institut für Metallforschung, Institut für  
 Werkstoffwissenschaft, Stuttgart, 70174, Germany  
 SO Philosophical Magazine A: Physics of Condensed Matter: Structure, Defects  
 and Mechanical Properties (1997), 75(5), 1357-1382  
 CODEN: PMAADG; ISSN: 0141-8610  
 PB Taylor & Francis  
 DT Journal  
 LA English  
 AB Four Nb-Al<sub>2</sub>O<sub>3</sub> interfaces were generated by depositing Nb on (0001)s, (01.hivin.10)s, (2.hivin.1.hivin.10)s and (01.hivin.12)s .alpha.-Al<sub>2</sub>O<sub>3</sub> (sapphire) surfaces by MBE. High-resoln. TEM (HRTEM) studies showed that the interfaces are semicoherent for the film thicknesses studied, that is coherent regions alternate with misfit dislocations at the interfaces. The visible displacement field around the core of the dislocations is restricted to the Nb lattice. The Burgers vector of the misfit dislocations is therefore assigned to the lattice of the Nb. The Burgers vector is detd. by HRTEM using the concept of a Burgers circuit around the core of the misfit dislocations. Since this core is in general at the interface, the Burgers circuit has to cross the interface. The dislocation networks, which accommodate the mismatch between the lattice of the Nb and the sapphire, are built up by misfit dislocations with a Burgers vector of  $1/2 \langle 111 \rangle$ . This Burgers vector to the misfit dislocations is not always parallel to the interface nor are all misfit dislocations pure edge dislocations. Only the edge component b<sub>MD</sub> of the Burgers vector, which is parallel to the interface, accommodates the lattice mismatch at these interfaces. Screw components b<sub>screw</sub> and/or edge components b<sub>perp</sub> which are perpendicular to the interface, are in general compensated in the networks. Only in the system where the interface is parallel to (01.hivin.12)s does an array of misfit dislocations with an uncompensated Burgers vector component b<sub>perp</sub> lead to a tilt of the Nb lattice with respect to the sapphire lattice.  
 IT 1344-28-1, Alumina, properties

L6 ANSWER 5 OF 36 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1997:590365 HCAPLUS  
 DN 127:286112  
 TI Basal slip in sapphire (.alpha.-Al<sub>2</sub>O<sub>3</sub>)  
 AU Castaing, J.; Munoz, A.; Gomez Garcia, D.; Dominguez Rodriguez, A.  
 CS Departamento Fisica de la Materia Condensada, Universidad y CSIC, Aptado.  
 1065, Seville, 41080, Spain  
 SO Materials Science & Engineering, A: Structural Materials: Properties,  
 Microstructure and Processing (1997), A233(1-2), 121-125  
 CODEN: MSAPE3; ISSN: 0921-5093  
 PB Elsevier  
 DT Journal  
 LA English  
 AB Plastic deformation of sapphire was performed along three different  
 compression axes to activate (0001) basal slip along one or two  
 .ltbbrac..hivin.1.hivin.120.rtbbrac. directions. One of the orientations  
 is identical to that used in earlier expts., with the activation of one  
 glide direction, or Burgers vector. Two new orientations were also  
 studied which permit activation of two Burgers vectors. For one of these  
 orientations, rhombohedral twinning is difficult to activate and large  
 deformations, for unpolished specimens, can be reached by basal slip down  
 to 900.degree.. Basal glide along .ltbbrac.01.hivin.  
 10.rtbbrac. was obsd.; the yield stress values are the same for  
 .ltbbrac.01.hivin.10.rtbbrac. and  
 .ltbbrac.0.hivin.110.rtbbrac. directions.

L6 ANSWER 4 OF 36 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1998:514434 HCAPLUS  
 DN 129:252651  
 TI Defects and interfaces in epitaxial ZnO/.alpha.-Al2O3 and  
 AlN/ZnO/.alpha.-Al2O3 heterostructures  
 AU Narayan, J.; Dovidenko, K.; Sharma, A. K.; Oktyabrsky, S.  
 CS Department of Materials Science and Engineering, North Carolina State  
 University, Raleigh, NC, 27695-7916, USA  
 SO Journal of Applied Physics (1998), 84(5), 2597-2601  
 CODEN: JAPIAU; ISSN: 0021-8979  
 PB American Institute of Physics  
 DT Journal  
 LA English  
 AB The nature of epitaxy, defects (dislocations, stacking faults, and  
 inversion domains), and heterointerfaces in Zn oxide films grown on (0001)  
 sapphire was investigated, and the possibility of using it as a buffer  
 layer for growing Group III nitrides was explored. High quality epitaxial  
 ZnO films were grown on sapphire using pulsed laser deposition at  
 750-800.degree.. The epitaxial relation of the film with respect to  
 (0001) sapphire is (0001)ZnO.dblvert.(0001)sap, with in-plane orientation  
 relation of [01.hivin.10  
 ]ZnO.dblvert.[.hivin.12.hivin.10]sap. This in-plane orientation relation  
 corresponds to a 30.degree. rotation of ZnO basal planes with respect to  
 the sapphire substrate, which is similar to the epitaxial growth  
 characteristics of AlN and GaN on sapphire. The threading dislocations in  
 ZnO have mostly 1/3.ltbbbrac.11.hivin.20.rtbbrac. Burgers vectors. The  
 planar defects (mostly I1 stacking faults) lie in the basal plane with d.  
 of .apprx.105 cm-1. Epitaxial AlN films were grown at around 770.degree.  
 using ZnO/sapphire heterostructure as a substrate and the formation of a  
 thin reacted layer at the AlN/ZnO interface was obsd. The implications of  
 low defect content in ZnO films compared to III-V nitrides and the role of  
 ZnO films as a buffer layer for III-V nitrides are discussed.  
 IT 1344-28-1, Alumina, properties



L6 ANSWER 2 OF 36 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1998:782544 HCAPLUS  
 DN 130:74424  
 TI TEM characterization of ZnO and AlN/ZnO thin films grown on sapphire  
 AU Dovidenko, K.; Oktyabrsky, S.; Sharma, A. K.; Narayan, J.  
 CS Dept. of Materials Science & Engineering, North Carolina State University,  
 Raleigh, NC, 27695-7916, USA  
 SO Materials Research Society Symposium Proceedings (1998), 526(Advances in  
 Laser Ablation of Materials), 311-316  
 CODEN: MRSPDH; ISSN: 0272-9172  
 PB Materials Research Society  
 DT Journal  
 LA English  
 AB Thin (.apprx.250 nm) films of ZnO grown by pulsed laser deposition on the  
 basal plane of sapphire were studied by TEM microscopy. Plan-view TEM  
 study proved the films to be single crystal with the following epitaxial  
 relation with the substrate: (0001)ZnO.dblvert.(0001)sap with the 300  
 in-plane rotation - [01.hivin.10  
 ]ZnO.dblvert.[.hivin.12.hivin.10]sap. Dislocations lying mostly in the  
 basal plane of ZnO and aligned along both <10.hivin.10> and <11.hivin.20>  
 directions having  $b = 1/3[11.hivin.20]$  were found. ZnO films were found  
 to have layered growth morphol. contrary to the columnar morphol. of III  
 nitrides. Consequently, the threading dislocation d. in ZnO films  
 (opposed to AlN and GaN) drops very fast with the thickness: down to 107  
 cm<sup>-2</sup> at .apprx.250 nm. The effect of post-annealing (which caused  
 significant improvement in elec. and optical properties) on the  
 microstructure of ZnO films was also studied. Contrary to the atomically  
 sharp and clean interface in the as-deposited films, the post-annealed  
 ZnO/sapphire interface contained reacted layer of 30-60 .ANG. thickness.  
 The structure of the interlayer was detd. to be ZnAl<sub>2</sub>O<sub>4</sub> (spinel). The  
 formation of this single-crystal spinel layer did not cause deterioration  
 of the ZnO film structure or properties. The authors have also explored  
 the possibility of using ZnO as a buffer for III nitride growth. The  
 epitaxial AlN films were grown on top of the ZnO layer by pulsed laser  
 deposition. A thin (20-60 .ANG.) interfacial reaction layer (also spinel  
 ZnAl<sub>2</sub>O<sub>4</sub>) was obsd. between AlN and ZnO. Formation of this interlayer is  
 studied in conjunction with the AlN epitaxy and the characteristics of  
 defects and interfaces.  
 IT 1317-82-4, Sapphire

L8 ANSWER 4 OF 4 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1987:147273 HCAPLUS  
 DN 106:147273  
 TI Epitaxial growth of niobium thin films  
 AU Claassen, J. H.; Wolf, S. A.; Qadri, S. B.; Jones, L. D.  
 CS Nav. Res. Lab., Washington, DC, 20375-5000, USA  
 SO J. Cryst. Growth (1987), 81(1-4), 557-61  
 CODEN: JCRGAE; ISSN: 0022-0248  
 DT Journal  
 LA English  
 CC 75-1 (Crystallography and Liquid Crystals)  
 AB The properties of Nb films grown in a UHV-metal MBE-system are described. The films were characterized by high energy electron diffraction, various x-ray diffraction techniques, and transport measurements. The epitaxial relation between (0001), (01.hivin.10) and (11.hivin.20) **sapphire** substrates and the Nb films grown on them represents a 3-dimensional registry between the 2 lattices. Rocking curve widths, superconducting transition temps., and residual resistance ratios all are representative of very high quality films. An enhancement of the transition temp. by .apprx.0.3 K occurs in strained textured films grown at ambient temp. on the 3 orientations mentioned above. Epitaxy occurs on the (1.hivin.102) **sapphire** face at growth temps. as low as ambient.  
 ST epitaxy niobium **sapphire** mol beam; MBE niobium **sapphire**

L87 ANSWER 13 OF 13 SCISEARCH COPYRIGHT 2002 ISI (R)  
 AN 1998:803726 SCISEARCH  
 GA The Genuine Article (R) Number: 128QX  
 TI Properties of GaN epilayers grown on **misoriented sapphire substrates**  
 AU TragerCowan C (Reprint); McArthur S; Middleton P G; ODonnell K P; Zubia D; Hersee S D  
 CS UNIV STRATHCLYDE, DEPT PHYS & APPL PHYS, GLASGOW G1 1XQ, LANARK, SCOTLAND (Reprint); UNIV NEW MEXICO, CHTM, ALBUQUERQUE, NM 87131  
 CYA SCOTLAND; USA  
 SO MRS INTERNET JOURNAL OF NITRIDE SEMICONDUCTOR RESEARCH, (AUG 1998) Vol. 3, No. 36, pp. 1-8.  
 Publisher: MATERIALS RESEARCH SOCIETY, 506 KEYSTONE DR, WARRENDALE, PA 15086.  
 ISSN: 1092-5783.  
 DT Article; Journal  
 LA English  
 REC Reference Count: 24  
 AB Three silicon-doped 3  $\mu$ m thick GaN epilayers were grown simultaneously by metalorganic chemical vapour deposition on (0001) **sapphire substrates misorientated** by 0 degrees, 4 degrees and 10 degrees toward the **m-plane** (10  $\bar{1}$  0). A comparative study of these epilayers was undertaken using photoluminescence (PL) spectroscopy, atomic force microscopy (AFM), scanning electron microscopy (SEM), cathodoluminescence (CL) imaging, CL spectroscopy and Hall effect measurements. Low temperature PL of the 0 degrees and 4 degrees epilayers shows donor bound exciton (BE) emission between 3.47 and 3.48 eV and a low level of yellow band emission. The peak intensities of both emission bands are a factor of 2 higher for the 4 degrees layer. In the 10 degrees epilayer, the BE band is 3x stronger than in the 0 degrees epilayer but there is no discernible yellow band. However, a number of additional bands appear at 3.459, 3.417, 3.362, 3.345, 3.309, and 3.285 eV. These bands, some of which are acceptor related, may be attributed to the presence of structural defects in this epilayer, pointing to an abrupt degradation of its structural quality compared to the others. This degradation is confirmed by AFM studies. On a 20  $\mu$ m x 20  $\mu$ m image the 0 degrees and 4 degrees epilayers exhibit smooth surface morphologies, while the 10 degrees epilayer shows a high density of hexagonal pits. Finally, SEM images reveal the surface of the 10 degrees epilayer to be 'streaked' and pitted. Low temperature CL images at 3.48 eV (bound exciton region) show random spotty emission, while those at 3.28 eV and 3.41 eV exhibit a streaky appearance similar to the SEM image. This suggests that these luminescence bands are indeed associated with structural defects.

ANSWER 1 HCAPLUS COPYRIGHT 2002 ACS

AN 1991:195317 HCAPLUS

DN 114:195317

TI MOVPE growth of gallium nitride on a misoriented sapphire substrate

AU Hiramatsu, Kazumasa; Amano, Hiroshi; Akasaki, Isamu; Kato, Hisaki; Koide, Norikatsu; Manabe, Katsuhide

CS Dep. Electron., Nagoya Univ., Nagoya, 464-01, Japan

SO Journal of Crystal Growth (1991), 107(1-4), 509-12

CODEN: JCRGAE; ISSN: 0022-0248

DT Journal

LA English

CC 73-5 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

Section cross-reference(s): 66, 75, 76

AB The effects of slight misorientation from a (0001) singular plane on .alpha.-Al<sub>2</sub>O<sub>3</sub> substrates on the surface morphol. and luminescence properties of MOVPE-grown GaN films were studied. Macrostep morphol. with periodic terraces (singular plane) and risers (clustered steps) was obsd. on epitaxial GaN films grown on 3-10.degree. misoriented Al<sub>2</sub>O<sub>3</sub> vicinal substrates toward the [10.hivin.10]sapphire and [1.hivin.210]sapphire directions. The macrostep causes inhomogeneity of cathodoluminescence and electroluminescence patterns in Zn-doped GaN films, suggesting that the Zn luminescence center formation depends on the growth planes of the terrace and riser.

ST luminescence gallium nitride film alumina; electroluminescence gallium nitride film alumina; cathodoluminescence gallium nitride film alumina; epitaxy gallium nitride film alumina; MBE gallium nitride film alumina

L31 ANSWER 1 OF 1 INSPEC COPYRIGHT 2002 IEE  
AN 2000:6546778 INSPEC DN A2000-09-7865K-035  
TI Optical anisotropy of GaN/sapphire studied by generalized ellipsometry and Raman scattering.  
AU Yan, C.; Yao, H. (Center for Microelectron. & Opt. Mater. Res., Nebraska Univ., Lincoln, NE, USA); Van Hove, J.M.; Wowchak, A.M.; Chow, P.P.; Zavada, J.M.  
SO Proceedings of the SPIE - The International Society for Optical Engineering (1999) vol.3621, p.73-84. 18 refs.  
Published by: SPIE-Int. Soc. Opt. Eng  
Price: CCCC 0277-786X/99/\$10.00  
CODEN: PSISDG ISSN: 0277-786X  
SICI: 0277-786X(1999)3621L:73:OASS;1-Q  
Conference: Light-Emitting Diodes: Research, Manufacturing, and Applications III. San Jose, CA, USA, 27-28 Jan 1999  
Sponsor(s): SPIE  
DT Conference Article; Journal  
TC Experimental  
CY United States  
LA English  
AB Generalized variable **angle** spectroscopic ellipsometry (VASE) and Raman scattering have been employed to study the optical anisotropy of GaN/**sapphire** structures. The GaN films were grown by hydride vapor phase **epitaxy** and molecular beam **epitaxy** on both m-plane and c-plane **sapphire** ( **alpha -Al2O3**) **substrates**, respectively. Anisotropic optical phonon structure of **sapphire** has been measured, based on which the optical axis of **sapphire substrate** has been determined. A 541 cm<sup>-1</sup> TO phonon of GaN grown on m-plane **sapphire substrate** has been discovered experimentally which is due the coupling of A1 and E1 TOs. Optical axis orientation of GaN film on m-**sapphire** has been fully determined by the anisotropic angular dependence of the coupled TO phonon. Off-diagonal elements Apst and Aspt of transmission VASE (TVASE) are very sensitive parameters related to the optical anisotropy. The optical axis orientation of GaN on m-**sapphire** has also been accurately determined by TVASE at two special sample positions. The optical anisotropy due to GaN film and **sapphire substrate** has been successfully separated at 90 degrees samples position allowing to study the optical anisotropy of GaN film only.

L104 ANSWER 11 OF 19 HCAPLUS COPYRIGHT 2002 ACS

AN 1999:333269 HCAPLUS

DN 131:94087

TI Optical properties of GaN layers grown on C-, A-, R-, and **M-plane sapphire substrates** by gas source molecular beam epitaxy

AU Tripathy, S.; Soni, R. K.; Asahi, H.; Iwata, K.; Kuroiwa, R.; Asami, K.; Gonda, S.

CS Department of Physics, Indian Institute of Technology Delhi, New Delhi, 110016, India

SO Journal of Applied Physics (1999), 85(12), 8386-8399  
CODEN: JAPIAU; ISSN: 0021-8979

PB American Institute of Physics

DT Journal

LA English

CC 73-3 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

Section cross-reference(s): 76

AB GaN layers were grown on C-, A-, R-, and **M-plane**

**sapphire substrates** by the electron cyclotron resonance-MBE technique. The authors addressed a combined use of Raman spectroscopy, photoluminescence (PL) and reflectance measurements to study the optical properties of these high-quality GaN layers. First order optical phonons of A<sub>1</sub>, E<sub>1</sub>, and E<sub>2</sub> symmetries were obsd. in the Raman spectra and the peaks are indicative of the wurtzite crystal structure. All three intrinsic exciton transitions arising from A, B, and C interband transitions were obsd. in reflectance measurements. The PL spectra were dominated by A and B free exciton transitions and the recombination of an exciton bound to a neutral donor. The exptl. data clearly revealed a thickness-dependent change of the biaxial strain in the GaN layers grown on (0001) **C-plane sapphire**. The residual strain induced in these layers has a strong influence in detg. the energies of the excitonic transitions. Resonant Raman scattering measurements were performed by temp. tuning of fundamental gap in 1.0 .mu.m GaN on **C-plane sapphire**. The influence of epitaxial strain in free exciton properties of GaN layers grown on various orientations of **sapphire** is discussed based on the PL and reflectance results. The exciton binding energies were estd. in the GaN layers grown on C-, A-, and **M-plane sapphire substrates**. Polarized Raman measurements were performed on GaN layers grown on various orientations of **sapphire** and the authors obsd. quasipolar modes of both E<sub>1</sub> and A<sub>1</sub> symmetries. An addnl. broad photoluminescence band centered around 2.74 eV was obsd. in the GaN layers grown on R- and **M-plane sapphire substrates**. The defect induced Raman scattering in resonance with this band shows strong Raman scattering peaks resulting from the transition between energy levels of donor species or defect states.

L44 ANSWER 6 OF 6 HCAPLUS COPYRIGHT 2002 ACS  
 AN 1981:524528 HCAPLUS  
 DN 95:124528  
 TI Gallium nitride **epitaxy**  
 PA Matsushita Electric Industrial Co., Ltd., Japan  
 SO Jpn. Kokai Tokkyo Koho, 3 pp.  
 CODEN: JKXXAF  
 DT Patent  
 LA Japanese  
 IC C30B029-40; C30B025-18; H01L021-205  
 CC 75-1 (Crystallization and Crystal Structure)  
 Section cross-reference(s): 76

FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
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PI	JP 56059699	A2	19810523	JP 1979-134552	19791017
	JP 60026079	B4	19850621		
AB	In <b>epitaxial</b> growth of GaN, a substrate (e.g., from .alpha.-Al <sub>2</sub> O <sub>3</sub> or hexagonal SiC) with face orientation <b>tilted</b> 0.5-4.degree. (off- <b>angle</b> ) with respect to the face having low indexes is used.				